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### **Alternate Dispute Resolution Experience**

- Arbitrator since 1972. Mediator since 1994. Full time ADR neutral since 2000. Primarily in commercial litigation, employment, securities and insurance fields.
- Member of American Arbitration Association employment panel with special emphasis on disputes between high and middle management personnel and their employers
- Member of mediation panel: United States District Court for the Northern District of California; First District Court of Appeal; and Superior Court of Contra Costa County, California.
- Counsel in approximately 130 arbitration proceedings (1972-1994)
- Securities industry representative to the Securities Industry Conference on Arbitration (SICA) 1992-2002.
- Member of the group that began development of the AAA employment arbitration rules
- Member of the National Arbitration and Mediation Committee of the NASD-DR 1989-1992, 1999-2002
- Observer to the Drafting Committees of the National Conference of Commissioners on Uniform State Laws on the Revised Uniform Arbitration Act and on the Uniform Mediation Act
- Member of the Judicial Council of California working group that drafted the Rules of Conduct for Mediators in Court Connected Mediation

### **Prior Work History**

Senior Vice President/Senior Deputy General Counsel, Morgan Stanley Dean Witter & Co., 1996-2000; Deputy General Counsel and Director of Litigation, Dean Witter Reynolds, Inc. 1970-96; Assistant General Counsel, A.O. Smith Corporation, 1967-70; General Counsel, Komline Sanderson Engineering Corporation, 1966-67; Litigation Attorney, Federal Trade Commission, 1962-66; Staff Judge Advocate, United States Air Force 1959-62

### **Professional Licenses**

Admitted to the Bar: New Jersey, 1959; Wisconsin, 1968; California, 1971. U.S. District Courts: District of New Jersey, 1959; Eastern District of Wisconsin, 1968; Northern District of California, 1971. U.S. Courts of Appeals: Ninth (1971) and Sixth (1996) Circuits. U.S. Supreme Court 1983.

## Professional Associations

American Bar Association (Litigation Section: co-chair ADR Committee, 2000-01, Dispute Resolution Section: co-chair, Arbitration Committee, 2003- ); State Bar of California (Executive Committee, Business Law Section 1988-91, 1998-2001, State Bar Committee on Alternate Dispute Resolution 2001-2004, State Bar Task Force on Complex Litigation: (Vice Chair, 1991-99, Chair 2000)); The Mediation Society of San Francisco (President, 2001-2002, Board of Directors, 1999-2003); Civil and Small Claims Committee of Judicial Council of California, 1999-2002 (ADR and Complex Litigation Subcommittees); member, Board of Directors, California Dispute Resolution Council; member, College of Commercial Arbitrators; member, Contra Costa County Bar Association ADR Committee; co-chair, Planning Committee, ABA Dispute Resolution Section Arbitration Training Institute..

**Education:** Rutgers University (BA, LLB)

## Publications

*The AMEX Window: Is it Shut Properly?*, Securities Arbitration Commentator, Vol. VII, No. 12, 1996; co-author, *Securities Arbitration*, California ADR Practice Guide, Sec. 26; *Tweaking Arbitration: Can the RUAA Fill the Gaps in the FAA?*, Business Law Today, Vol. 9, No. 1, September/October 1999; *The Uniform Arbitration Act and its Effect on Securities Arbitration*, Securities Arbitration Commentator, Vol. XII, No. 2-3; editorial board, Securities Arbitration Commentator and California Litigation Magazine; author of the arbitration section of the annual update of California law published by the Business Law Section of the State Bar of California 2004-05.